Lifetime Safety: Preventing Leaks and Dangling I. Approach II. Informal overview and rationale

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Thanks especially to **Bjarne Stroustrup**. This work aims to help "finish the base model of C++" with its strong resource management model, and is codifying ideas that he has been developing for a decade and more. It also relies on features available uniquely, or most clearly, in C++ compared to other languages that do not rely on garbage collection. Stroustrup is a reliable source of both insight and pragmatism, and this paper is much clearer because of his feedback, including but not limited to the clear statement of principles in *Section I* and the Owners of Pointers examples.

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Notes This paper is a work in progress. It may well contain typos and minor inconsistencies as examples have been maintained by hand concurrently with the refinement of the model, although the examples are also run through the prototype compiler implementation. Bug reports are welcome – please open an issue.

There are three areas of known open work: (1) Iterate to refine the rules to address cases that generate excessive false positives when running the checker against production code; these will likely be addressed by adding specific rules, such as has already been done to address null tests (see II.5). (2) Finalize the shared ownership model. (3) Complete *Section III, Analysis rules* – the formal description to be used by implementations, which we anticipate producing as a separate companion paper in the coming months. (A small portion of Section III appears in this paper as Appendix 2, and is included here to make this paper better able to stand alone because some of the later examples use features, such as move_owner, that are from Section III.)

Goal: Eliminate leaks and dangling for */&/iterators/views/ranges

We want freedom from leaks and dangling – not only for raw pointers and references, but all generalized Pointers such as iterators—while staying true to C++ and being adoptable:

- 1. We cannot tolerate leaks (failure to free) or dangling (use-after-free). For example, a safe std:: library must prevent dangling uses such as auto& bad = vec[0]; vec.push_back(); bad = 42;.
- 2. We need the raw efficiency of "just an address" pointers and references especially when used locally on the stack as function arguments/parameters, return values, and local variables. For example, a safe std:: library cannot just ban returning a reference from vector<T>::operator[]; it must still allow vec[0] = 42; to return and write through a raw reference. We also need the flexibility of being able to write efficient user-defined iterators, ranges, views, and other indirections, as pure libraries with comparable abstraction overheads to today's STL when used locally on the stack.
- 3. We cannot tolerate run-time overheads, such as widespread reliance on tracing garbage collection or other run-time instrumentation, as required in languages like Java, C#, D, Go and others where GC is mandatory or required to use the full standard library. That would defeat the purpose of passing "just an address" because the total cost to the program would include the cost of tracing collection. It also takes us out of the realm of C++, where "zero overhead" and "don't pay for what you don't use" are table stakes.
- 4. We cannot tolerate significant source code impact, such as heavy annotation as required in systems like Cyclone and Rust (see *Related work*). That would defeat both usability/comprehensibility and adoptability.
- 5. We cannot tolerate excessive false positives, or flagging as lifetime "errors" code that is not actually leaking or dangling. Our goal is that the false positive rate should be kept at under 10% on average over a large body of code; that is, 90% of diagnosed errors should be actual or latent errors.

This paper attempts to efficiently enforce leak-freedom and dangle-freedom statically at build time, including for existing C++ source code with little annotation and only simple reorganization. The key insight is that we can directly leverage C++'s existing strong notions of:

- (a) scopes and lifetimes, including base and by-value member subobject lifetimes tied to the outer object;
- (b) the compiler's awareness of private members, including full definitions of all types used by-value; and
- (c) const to identify non-mutating accesses.

To distinguish owning from non-owning pointers, this design uses the GSL owner<> type alias to distinguish owning pointers and views, on which more complex owning types are built.

Note Because owner<> is a type alias, it can be used to add build-time analysis information without disturbing any program types or ABI compatibility.

The design attempts to statically ensure leak-freedom and dangle-freedom for all indirection types including iterators and ranges, with no dynamic checking or reliance on GC, and therefore with identical space and time performance while continuing to use existing types including non-owning raw pointers and references.

Note This paper focuses on lifetimes before and during main. As a future extension we may want to additionally consider lifetime errors during static destruction.

Related work

Many thoughtful efforts to create a safe systems programming language don't meet the above requirements:

• They handle only C, because "C is simpler." We want a solution for C++; in fact, although the C language is simpler than C++, C++ programs are simpler to analyze than C because they contain much richer

information, notably through C++'s strong scope and ownership semantics. Several techniques in this paper exploit this information and can only be done in C++.

- They incur run-time overheads. Notably, many approaches rely on pervasive garbage collection, which incurs space (heap size) and time (collection pauses) overheads that do not fit C++'s zero-overhead "don't pay for what you don't use" design goal.
- They rely on whole-program analysis. This does not scale to real-world code sizes.
- They require extensive annotation. Excessive annotation is harder to write and usually difficult to reason about for the programmer. It also means that extensive necessary information is missing in the source code, which is less true for C++ or that it is present but not used. Too much annotation blocks adoption because it is too invasive it requires too much change before seeing benefits.
- They invent a new language. This could be tenable if it could be used side-by-side with C++ code with seamless interoperation, able to call C++ code directly without syntactic or code overhead, but no safe language we know of does this; some do provide good foreign function interfaces but only to C.
- One or more of the above.

Although this work is not derived from these efforts, we are aware of many of them. Below we note specific related work to compare/contrast approaches.

Cyclone: Regions

<u>Cyclone</u>, an extension to C for memory safety, has the concept of growable <u>regions</u>, where a pointer can be associated with a region to ensure the pointer outlives the region it points into. Regions can be implicit, but often need to be written explicitly in source code.

The result has advantages but is cumbersome to use. For an extension in this direction to be successful, the programmer should almost never be required to write these annotations, especially not on function parameters or on stack variables in calling code, and only rarely on library function signatures. Because C++ already has strong knowledge of scoped lifetimes for local and member variables, a key insight is that we can simply use the name of any scoped variable to denote its implicit lifetime 'region' without additional notation.

Rust and System C# : Borrowing

Rust, and following it System C#, have the concept of <u>borrowing</u> a pointer or reference to an object and <u>checking</u> its lifetime statically. Both features are more general and complex than the one proposed in this paper.

System C# is built on the C# environment and object model, which carries capabilities and constraints not applicable to C++ and so naturally leads to a different design point. C# assumes that objects have lazy GC lifetime; we cannot, because that would violate our zero-overhead design constraint. C# objects are uncopyable and unscoped by default, and this constrains what can be expressed in the System C# model; instead, C++ already has strong knowledge of scoped lifetimes for local and member variables, and has statically known deterministic lifetime and destruction by construction and by default (e.g., default RAII vs. C# opt-in *using*).

<u>Rust</u> borrowing is part of a more general attempt to deeply enforce strong object ownership semantics pervasively, including with a number of built-in pointer types; instead, our design is a simpler feature focused on eliminating leaks and dangling only, while enabling similar pointer types (including the std:: smart pointers with little or no modification) to still be built as libraries. Also, Rust chooses to treat the creation of a dangling pointer as an error; this seems too restrictive, especially because reusing pointer/iterator local variables is common and easy to make safe, so we feel that for local variables it is sufficient to diagnose only attempts to read (including dereference, copy from, and compare) dangling pointers.

I. Approach and principles

The basic rules we teach the programmer are:

- 1. Prefer to allocate heap objects using (owning) make_unique<T>/make_shared<T> or containers.
- 2. Otherwise, use owner<T*> for source/layout compatibility with old code. Each non-null owner<> must be deleted exactly once, or moved.
- 3. Never dereference a null or invalid Pointer.
- 4. Never allow an invalid Pointer to escape a function.

The more detailed lifetime tracking system rules in the rest of this paper describe a means to soundly diagnose violations of rules 2, 3, and 4 at build time.

The approach in this paper is:

- Local rules, statically enforced. Doing all checks at build time locally within a function avoids run-time overhead while also keeping builds scalable. Whole-program guarantees are achieved when building the whole program, but without global analysis.
- Identify Owners, track Pointers. There are two kinds of indirection: Owners own what they point to and cannot dangle, and Pointers that do not and can dangle. The rules for Owners aim to ensure they clean up accurately and do not leak. The rules for Pointers aim to ensure they do not dangle.
- Few annotations. Deduction rules let us infer the vast majority of Owner and Pointer types: If a type X contains an Owner by value, then X is an Owner; otherwise if a type X is not an Owner and contains a Pointer by value, then X is a Pointer. Similarly for function calls, well-chosen default lifetime rules for Pointers passed as parameter and return values aim to avoid the need for explicit lifetime annotation for the vast majority of functions.

The principles behind the design are:

- A Pointer may not outlive the object it points to. The only exception is that local variables of Pointer type can be reused; they can dangle as long as they are not read (including dereferenced, copied from, or escaped) while dangling, which can be enforced locally.
- We track the outermost object. For an object held by value as a class member or array element, we track the enclosing object or array. For a heap object, we track its Owner.
- When calling a function, a Pointer passed in as a parameter must be valid for the lifetime of the function. This is enforced at the call site by disallowing passing a pointer the callee could invalidate.
- When calling a function, by default Pointer parameters are independent. This is enforced at the call site by disallowing passing a Pointer the callee could invalidate. Occasionally functions do something else; these require an annotation.
- When calling a function, by default a returned Pointer is derived from the Owner and Pointer inputs. This is enforced in the callee when separately compiling the called function's body. Occasionally functions do something else; these require an annotation.
- Annotation is required to express a non-default lifetime or to say "trust me here."

II. Informal overview and rationale

This section is an informal tour of the design and design choices.

See Section III, Analysis rules (forthcoming this winter, separate document) for a full formal description.

Indirections, Owners, and Pointers

An *Indirection* is an object that provides indirect access to another object. An *Owner* is an Indirection that owns the object it points to. A *Pointer* is an Indirection that does not own the object it points to.

X is a/an	when X	Examples	Can dangle
SharedOwner, shares ownership of the indirected object	contains a SharedOwner indirection member by value	shared_ptr, raw_shared_owner< <i>non-</i> <i>owner</i> >	no
UniqueOwner , owns the indirected object	is not a SharedOwner and contains a UniqueOwner member by value	<pre>containers, unique_ptr, owner<non-owner></non-owner></pre>	no
Pointer , doesn't own the indirected object	is not an Owner and contains a Pointer by value	raw * and &, iterators, ranges, views	yes
not an Indirection	is none of the above	<pre>struct point { int x; int y; };</pre>	no

We deduce these qualities for a type X as follows:

Note naw_shared_owner<> is a type alias used as a building block for shared owners. The shared
 ownership model is currently being refined; more detail about shared ownership will be covered in
 future drafts of this paper, and in the upcoming Section III, Analysis rules formal description.

For example:

```
template<class T /*...*/>
                   // unique_ptr is a UniqueOwner...
class unique_ptr {
   owner<T*> p;
                             // ...because it contains one
   // ...
};
template<class T /*...*/>
                             // shared_ptr is a SharedOwner...
class shared_ptr {
   raw_shared_owner<T*> p; // ...because it contains one
   // ...
};
template<class T>
class container {
                             // container is a UniqueOwner...
   unique_ptr<T[]> root; // ...because it contains one
public:
   class iterator {
                             // iterator is a Pointer...
       container* cont;
                            // ...because it contains one
       // ...
   };
   // ...
};
```

```
class x { // x is a non-indirection...
int i; // ...because it contains no Indirection
};
```

Note It is essential to distinguish Owners from Pointers, but this distinction needs to be made explicit primarily just for raw * and &; we believe we can infer the rest.

Lifetime tracking for Pointers

A Pointer can be made lifetime-safe (e.g., no dangling use) by statically tracking what it points to, notably:

- the object it currently refers to; or
- the owner keeping the referred-to object alive.

For example, given

```
auto up = make_unique<int>(42); // Owner, always valid
int* p = up.get(); // Pointer, can be invalidated
*p = 42; // ok
```

we want to capture that the pointer p is valid for the lifetime of the integer it refers to, which here is until up is destroyed or rebound; the latter happens when a non-const operation is performed on up:

up = something_else;	// A: invalidates p	
*p = 42;	// ERROR, p referred to an object owned by 'up'	1
	<pre>// before 'up' was modified on line A</pre>	

A Pointer p that is a local variable may refer to something that it could outlive; this lets the programmer easily reuse p later in the local function. Although p could potentially dangle through invalidation, any actual invalidation is statically diagnosed, and once p is invalidated it must be destroyed or reassigned before any other use.

In the following examples (all examples showing raw * apply equally to &):

- green highlights legal uses of valid pointers;
- x highlights the point at which an invalidation occurs; and
- red highlights subsequent illegal uses of invalidated pointers (when those are allowed to be formed).

Points-to set (**pset**)

For a Pointer or SharedOwner p, let pset(p) denote what p refers to.

Let *pset* ("points-to set") be a set where each element is one of the following:

	Meaning	p is invalidated if
obj	p currently refers to obj	obj is destroyed
obj'	p currently refers to an object owned directly by owner obj	obj is destroyed or modified by non-const use
obj''	p currently refers to an object kept alive indirectly (transitively) via owner obj	obj' is destroyed or modified by non-const use
null	p is invalid for any use until tested to be not equal to the null pointer constant	used without removing null from the list via a not-null branch

static	p currently refers to a static object, or an object owned directly by a const static owner object	valid (until the end of main; may inject additional checking after main ends)
invalid	p is already invalid	always, it's already invalid

Note There is no provision for inventing names of "regions." All lifetimes are tied to existing objects that already have names and lifetimes, or in the case of shared references automatically synthesized from them.

p is valid to dereference as long as pset(p) does not contain invalid or null.

Notes:

- The set entries are interpreted as "or'd." For example, pset(p) == {a,null} denotes that p either refers to the object a or is null.
- Any other entries are redundant with invalid, so (*anything*, invalid) == invalid.
- More than two ' means the same as two '. For example, {a'''} == {a''}.

Let KILL(o) mean to invalidate all occurrences of o, o', and o'' in existing psets. For example, given pset(p1) = x, pset(p2) = x', and pset(p3) = x'':

- KILL(x) invalidates all of p1, p2, and p3.
- KILL(x') invalidates p2 and p3.
- KILL(x'') invalidates p3.

1. Aliasing: Taking addresses and dereferencing

Taking the address of an lvalue x, or of a data member or array element inside x, results in a (non-owning) raw pointer whose pset is $\{x\}$. A pset entry o' that refers to a data member x.o can be converted to a pset entry $\{x'\}$.

Note A pointer to a local, or to a member, etc. can never be an owner. The only way to obtain an owner<> is from new. For any variable or other lvalue x, &x is still a T* (not owner<T*>), binding a reference to x is still a T& (not an owner<T&>) and you can't convert a T* to an owner<T*> implicitly without forcibly suppressing the lifetime rules.

Example 1.1: Address of local variable and invalidation

For example:

Note The solution in this and all examples is to change the scope of a local variable: to make the scope of a local pointer smaller (e.g., introduce additional pointer locals to separate flow) or to make the scope of a local variable bigger (e.g., move the destroyed local further out so the pointer isn't invalidated, or defer the mutation of a local owner).

Example 1.2: Address of member variable or array element

Consider members and array elements:

Example 1.3: pset(member variable or array element) = pset(enclosing object/array') Consider members that are owners:

```
struct mystruct {
    owner<int*> m;
    void f() {
        int* p = m;
        // pset(p) = {m'} (we are inside mystruct)
    }
} s;
int* p = s.m;
    // pset(p) = {s'} (we are outside mystruct)
owner<int*> a[100];
int* p = a[0];
    // pset(p) = {a'}
```

Note For stack arrays of indirections like a, we could consider additionally tracking each individually.

Example 1.4: Dereferencing Consider dereferencing:

Naturally therefore, dereferencing a pointer to pointer results pointer whose pset is substituted by the current pset of each entry – we are simply copying a pointer, including its pset. For example:

```
// IN: pset(ppi)=={pi}, pset(pi)=={i}
int* pi2 = *ppi;
// pset(*ppi) == pset(pi) == {i}
// OUT: pset(pi2) = {i}
int j = 0;
pi = &j;
// pset(pi) = {j} - makes **ppi point to j,
// but only updates pset(pi)
```

```
// IN: pset(ppi)=={pi}, pset(pi)=={j}
pi2 = *ppi; // pset(*ppi) == pset(pi) == {j}
// OUT: pset(pi2) = {j}
```

2. Invalidation by modifying Owners

Modifying an Owner o invalidates anything whose pset depends on o'.

Dereferencing a Pointer no that could modify the target object invalidates anything whose pset depends on pset(no)' which means to add ' to each owner in the list. For example, if $pset(x) = \{a',b''\}$, then $pset(x)' = \{a'',b'''\}$.

Example 2.1: Invalidation by modifying Owners

```
For example:
```

<pre>auto s = make_shared<int>(0); int* pi3 = s.get(); s = make_shared<int>(1); *pi3 = 42;</int></int></pre>	<pre>// pset(pi3) = {s'} [more on this later] // A: KILL(s') → pset(pi3) = {invalid} // ERROR, pi3 was invalidated by // assignment to s on line A</pre>
<pre>// Chris Hawblitzel's example auto sv = make_shared<vector< shared_ptr<vector<int="">>* sv2 = vector<int>* vec = &*sv; int* ptr = &(*sv)[5]; *ptr = 1;</int></vector<></pre>	&sv // pset(sv2) = {sv}
	// track pset of: sv2 vec ptr
	// IN: sv sv' sv''
vec->	// same as "(*vec)." $ ightarrow$ *vec is sv'
<pre>push_back(1);</pre>	<pre>// non-const operation on sv' ⇒ KILL(sv'') // OUT: sv sv' invalid</pre>
*ptr = 3;	<pre>// ERROR, invalidated by push_back</pre>
	evious state to demonstrate an alternative
*ptr = 4;	// ok
(*~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	// IN: sv sv' sv'' // *sv2 is sv
(*sv2). reset();	<pre>// "SV2 IS SV // non-const operation on sv \Rightarrow KILL(sv') // OUT: sv invalid invalid</pre>
vec->push_back(1); *ptr = 3;	<pre>// ERROR, invalidated by reset // ERROR, invalidated by reset</pre>

Note how the modification of *sv2 correctly invalidates ptr which was obtained via an unrelated path (sv).

Example 2.2: Container of containers

Here is a variation on Chris Hawblitzel's example showing a container of containers.

```
vector<vector<int>> vv;
vector<vector<int>>* vv2 = &vv; // pset(vv2) = vv
vector<int>* vec = &vv[0];
                                  // pset(vec) = vv'
int* ptr = &(*vec)[5];
                                  // pset(ptr) = vv''
*ptr = 0;
                                  // ok
                                  // track pset of:
                                                        vv2
                                                                         ptr
                                                                 vec
                                  11
                                                                 vv'
                                                                         vv''
                                  11
                                                  IN:
                                                        vv
                                  // same as "(*vec)." \rightarrow pset(*vec) == {vv''}
vec->
     push back(1);
                                  // KILL(vv'') because non-const operation
                                  //
                                                 OUT:
                                                        VV
                                                                 vv'
                                                                         invalid
*ptr = 1;
                                  // ERROR, invalidated by push_back
ptr = (vv[0])[5]; // back to previous state to demonstrate an alternative...
*ptr = 0;
                                  // ok
                                  11
                                                  IN:
                                                        vv
                                                                 vv'
                                                                         vv''
vv2->
                                  // same as "(*vv2)." \rightarrow pset(*vv2) == {vv'}
                                  // KILL(vv') because non-const operation
     clear();
                                  11
                                                 OUT:
                                                        vv
                                                                 invalid invalid
*ptr = 2;
                                  // ERROR, invalidated by clear
```

3. Branches

When a Pointer is assigned to within a branch of an if, then at the end of the if's scope we concatenate the lists at the end of each branch to record the "or'd" list of potential owners.

Similarly for switch, when a Pointer is assigned to within a path through a switch, then at the end of the switch's scope we concatenate the lists at the end of each break path to record the "or'd" list of potential owners.

Example 3.1: Invalidation in both branches

Both branches could invalidate. For example:

```
int* p = nullptr;
                              // pset(p) = {null}
if(cond) {
    int i = 0;
    p = &i;
                              // pset(p) = \{i\}
    *p = 42;
                               // ok
                               // A: KILL(i) \rightarrow pset(p) = {invalid}
}
else {
    int j = 1;
    p = &_{j};
                              // pset(p) = j
    *p = 42;
                               // ok
                               // B: KILL(j) \rightarrow pset(p) = \{invalid\}
}
```

Example 3.2: Invalidation in one branch

Invalidation on only one branch allows the possibility of "could be invalidated." For example:

```
int* p = nullptr;
                           // pset(p) = {null}
int i = 0;
if(cond) {
    p = &i;
                            // pset(p) = {i}
                            // ok
    *p = 42;
}
                            // no invalidation
else {
    int j = 1;
                            // pset(p) = {j}
    p = &_{j};
    *p = 42;
                            // ok
                            // A: KILL(j) \rightarrow pset(p) = \{invalid\}
  merge \rightarrow pset(p) = {invalid}
                            // ERROR, p was invalidated when j went out of scope
*p = 1;
                            // at line A. Solution: increase j's lifetime, or
                            // reduce p's lifetime
if(cond) *p = 2;
                            // ERROR, (same diagnostic) even if cond is unchanged
```

A dereferenced pointer must be valid on all non-data-dependent control flow paths in the function leading to the dereference.

Note The case if(cond) *p; is still an error because the rules must be portable (they must give the same answer for the same code across implementations without requiring implementations to perform data-dependent reasoning or be omniscient) and the fix is simple in most cases (increase or decrease the lifetime of a specifically named local variable).

Example 3.3: Invalidation in neither branch

A pointer can be assigned differently on different branches and still be valid after the branches merge. For example:

4. Loops

}

A loop is treated much like an if, because as with an if there are only two paths to analyze: taken (the loop was entered at least once), and not taken (the loop was not entered). We do not do flow-sensitive analysis. However, processing a loop can require a second pass:

- We take one pass through to determine any changes to psets used in the loop (on any path, as usual). This determines the full set of psets affected on exit from any loop iteration.
- (Optional) If the exit set of psets used in the loop is different from the entry set , we do one additional pass through the loop source starting with the new set of psets to ensure that a subsequent loop iteration cannot rely on an invalidated pset modified during a previous loop iteration.

Note that this algorithm remains linear – we take at most two passes through the loop body.

Example 4.1: Loops that do not change psets

Some loops do not change psets used in the loop. For example:

In this case, the set of dependencies on input and output did not change and no further action is needed.

Example 4.2: Loops that do change psets

If instead p could be pointed to another object during the loop, we would take the exit pset and then parse the loop exactly one more time treating them as entry dependencies to ensure the loop body did not rely on an invalidatable dependency. For example:

// that's different from entry, so parse loop one more time with {a,b}:
for(/*...whatever...*/) {

Example 4.3: Loops that invalidate

If the loop body could invalidate, we get a possibly invalid exit dependency:

```
// pset(p) = \{a\}
p = \&a[0];
for( /*...whatever...*/ ) {
    *p;
    p = nullptr; // A: pset(p) = {null}
    // ...
    if( /*...whatever...*/ ) {
        // ...
                       // pset(p) = \{b\}
        p = &b[i];
        // ...
      merge \rightarrow pset(p) = {null,b}
}
merge: pset(p) = {a} /*before loop*/ \cup {null,b} /*loop body*/ ={null,a,b}
// that's different from entry, so parse loop one more time with {null,a,b}:
for( /*...whatever...*/ ) {
                           // ERROR, could be null from assignment to p at
    *p;
                           // Line A in a previous iteration
    p = nullptr;
                          // A: pset(p) = {null}
```

```
// ...
if( /*...whatever...*/ ) {
```

Example 4.4: Loops that allocate Some loop bodies allocate:

```
p = \&a[0];
                           // pset(p) = \{a\}
bool must delete = false;
for( /*...whatever...*/ ) {
    // ...
    if( /*...whatever...*/ ) {
        // ...
        p = new A
                           // A: pset(p) = {temp'}
                            // KILL(temp) \rightarrow pset(p) = {invalid}
                  ;
                            // ERROR: no delete of owner<> returned from new
        must_delete = true;
        // ...
    }
    // ...
}
if(p) * p = 42;
                            // ERROR, invalidated by assignment to p on line A
                            // (note conservative rule, because we don't accept
                            // owning raw * unless annotated owner<>
if(must delete)
                            // ERROR, delete of non-owner<> is not lifetime-safe
    delete p;
```

Solution: Have more than one pointer. In this case a unique_ptr is appropriate and replaces the explicit flag, so we net out to zero additional variables (and less code since we can omit the explicit fragile delete check).

merge: pset(p) = {a} /*before loop*/ \cup {a,up'} /*loop body*/ = {a,up'}

5. null

When a branch can be entered only on success of an explicit test for p being not the null pointer constant (regardless of the complexity of the conditional expression), we remove the null dependency in that branch.

Determining whether a particular conditional subexpression is required to enter a branch is done "as if" by the conditional expression were rewritten as follows, applied recursively until fully simplified:

- A branch of the form if (a && b) {...} is treated as if (a) { if (b) {...}}.
- A branch of the form if (a || b) {...} is treated as if (a) { if (b) {} else {...}}.
- A conditional expression involving a constexpr function does not evaluate the constexpr function unless that evaluation is required by the language (i.e., appears in a constexpr context).
- A conditional expression of the form arr[i] for an array arr is treated as testing pset(arr).

Example 5.1: Removing **null** from a pset to dereference successfully

For example, if a Pointer might be null, code can test for non-null and then use the pointer:

Example 5.2: Replacing null in a pset with a valid object

For example, if a Pointer might be null, code can test for null and replace it with non-null. Here "..." means any other set contents:

6. throw and catch

Example 6.1: catch

A try block is treated much like any other block, but a catch block is treated specially. Without statically knowing where the exception was raised, we treat the catch block as if it could have been entered from *every* point in the try block where an exception could have been raised. Thus we record all potential invalidations in the try block (as any of them may have executed) and remove any revalidations in the try block (as potentially none of them have executed.)

Note Asynchronous exceptions are orthogonal to this question.

This case is a clear win and we expect this to catch many mistakes.

```
int i = 0;
int *p1 = &i, *p2 = p1;
try {
   int j = 0;
   p1 = &j;
                  // A: pset(p1) = {j}
   f();
                   // pset(p1) = {i}
   p1 = &i;
   g();
                    // B: pset(p2) = {j}
   p2 = &j;
                    // KILL(j) \rightarrow pset(p2) = {invalid} in normal control flow
}
catch(...) {
                   // merge try's invalidations, ignore try's revalidations
                  // ERROR, invalidated by assignment to p1 on line A
   *p1 = 42;
    *p2 = 42;
                   // ERROR, invalidated by assignment to p2 on line B
}
```

Example 6.2: throw

Unlike a return, the type of an thrown object cannot be carried through function signatures. Therefore, do not throw a Pointer with lifetime other than static. For example:

static gi = 0;

```
void f() {
    int i = 0;
    throw &i;    // ERROR
    throw &gi;    // OK
}
```

7. Calling functions: Arguments and in/inout parameters

By default, objects and indirections passed to a function are assumed to be independent. This means that:

- In the function body, by default a Pointer parameter param is assumed to be valid for the duration of the function call and not depend on any other parameter, so at the start of the function pset(param)
 = param (its own lifetime) only.
- At a call site, by default passing a Pointer to a function requires that the argument's pset not include anything that could be invalidated by the function.

Example 7.1: Passing indirections

For example:

```
// In function bodies
11
void f(int* p) {
    // pset(p) = \{p\}
    p = something_else;
    // ... now pset(p) something else
   // ...
}
void g(shared_ptr<int>& s, int* p);
    // pset(p) = {p}
    s = something else;
                                 // KILL(s') \rightarrow no local effect, does not kill p
    // pset(p) = {p}, still
   // ...
}
// At call sites
11
int gi = 0;
shared_ptr<int> gsp = make_shared<int>();
int main() {
    // passing global and local objects
                    // ok, pset(arg) == {gi}, and gi outlives the call
    f(&gi);
    int i = 0;
                    // ok, pset(arg) == {i}, and i outlives the call
    f(&i);
    f(gsp.get()); // ERROR, pset(arg) == {gsp'}, and gsp is mutatable by f
    auto sp = gsp;
```

```
f(sp.get()); // ok, pset(arg) == {sp'}, and sp is not mutatable by f
g(sp, sp.get()); // ERROR, pset(arg2) == {sp'}, and sp is mutatable by f
g(gsp, sp.get()); // ok, pset(arg2) == {sp'}, and sp is not mutatable by f
}
```

Note This diagnoses the #1 correctness error using smart pointers, and with a clear message highlighting the key variable names. (The #1 performance error using smart pointers is covered under the foundation coding guidelines profile, which diagnoses needlessly passing smart pointer copies.)

Example 7.2: Explicitly overriding defaults

Sometimes you want to override the defaults. For example, consider two standard container member functions:

- The insert-with-hint insert(iter,t) assumes that the iterator is into this container, which is not the default (and would not be allowed by the earlier rule that iter could be invalidated by this insert). We can express this using [[lifetime(this)]].
- The range-based insert insert(iter1, iter2) assumes that the passed iterators are not into this container, which is the default. It also assumes that iter1 and iter2 have the same lifetime, which is not the default; we can express this using [[lifetime(iter1)]].

Result:

```
template<class Key, class T, /*...etc...*/>
class map {
    iterator insert(const iterator pos [[lifetime(this)]],
                   const value_type&);
    template <class InputIterator>
    void insert(InputIterator first,
               InputIterator last [[lifetime(first)]]);
   // ... more insert overloads and other functions ...
};
map<int,string> m = {{1,"one"}, {2,"two"}}, m2;
m.insert(m2.begin(), {3,"three"});
                                    // ERROR, pset(m2.begin()) != {m}
m.insert(m.begin(), {3,"three"});
                                     // ok, pset(m.begin()) == {m}
m.insert(m.begin(), m.end()));
                                      // ERROR, psets=={m'}, and m is mutatable
                                      // by m.insert [per earlier rule]
m.insert(m2.begin(), m.end()));
                                      // ERROR, psets are not equal
m.insert(m2.begin(), m2.end());
                                      // ok, pset == {m2'}, and m2 is not
                                      // mutatable by m.insert
```

```
Note This statically diagnoses several common classes of STL iterator bugs.
```

8. Calling functions: Return values and out/inout parameters

The goal of these defaults is to minimize total annotation, and to be sound when both the caller and callee are compiled separately under the lifetime profile. Any default lifetime that is incorrect will be diagnosed when compiling the callee body.

At the call site, when calling a function that produces (return value or out/inout parameter) a Pointer called ret, by default pset(ret) is derived from the function's Owner and Pointer arguments as in the following table.

If the only Owner arguments are passed by && or non-const&, then treat all owner const& parameters as-if they were non-const&.

For each argument arg that matches one of the cases below, concatenate one entry into pset(ret) as shown; if after thus processing the arguments pset(ret) is empty, then pset(ret) = {static}.

Notes:

- owner const& arguments are intentionally excluded by default. They are asking for rvalues.
- owner&& arguments are intentionally excluded always. They are begging for rvalues.
- "Indeterminate" means that arg is a this pointer in an overridable virtual function (neither the function nor the class is final) in a class that is not a SharedOwner. This is considered indeterminate because a further-derived class could change the indirection category of the type.
- pset(arg)' means to add one ' to every Owner in the set. For example, {a,b',c'',null}' == {a',b'',c'',null}.

arg is a	Passed by	pset(ret) ∪=	Examples
SharedOwner of UniqueOwner	value, & or && *	pset(arg)' pset(*arg)'	
SharedOwner of other	value, & or && *	pset(arg) pset(*arg)	<pre>int* f(shared_ptr<x>, shared_ptr<y>&); int* f(gc_ptr<int>*);</int></y></x></pre>
UniqueOwner of UniqueOwner	value, const&, && non-const & *	{} arg'' (*arg)''	<pre>int* f(vector<vector<int>>); int* f(vector<vector<int>>&); int* f(set<int>*);</int></vector<int></vector<int></pre>
UniqueOwner of other	value, const&, && non-const & *	{} arg' (*arg)'	<pre>int* f(vector<int>, const string&); int* f(unique_ptr<int>&, string&); int* f(set<int>*);</int></int></int></pre>
other Pointer	value, & or && *	pset(arg) pset(*arg)	<pre>int* f(int*, int*&); int* f(int**);</pre>
indeterminate	* (that is, this)	{}	<pre>struct base { virtual int* f(); };</pre>

Example 8.1: Owners

For example, consider shared_ptr<int>::get(), where the only argument is the this pointer to an owner:

*p = 42; // ERROR

Example 8.2: std::min and std::max

Consider std::min, which returns one of its input references. (std::max is handled similarly.)

```
// if T is not an Owner
template<class T>
const T& min(const T& a, const T& b) { // return pset = pset(a) \cup pset(b)
    return a<b
           ? a
                    // ok, pset(a) is within pset(a)\cuppset(b)
                    // ok, pset(b) is within pset(a)\cuppset(b)
           : b;
}
template<class T> int* f(const T&);
auto sp = make shared<vector<int>>(100);
f(sp);
                               shared_ptr<vector<int>>& with pset == {sp}
                    // pass:
                    // return: pset = {sp'}
f(*sp);
                    // pass: vector<int>& with pset == {*sp}
                    // return: pset = {sp''}
f(sp->begin());
                    // pass: vector<int>::iterator& with pset == {sp''}
                    // return: pset = {sp''}
f((*sp)[5]);
                    // pass: int& with pset == {sp''}
                    // return: pset = {sp''}
```

In calling code, this prevents known lifetime errors, including improving existing C++ code. For example, here is a problem reported by a number of people including Andrei Alexandrescu: Because std::min returns a reference, if a call to min(x,y) might change under maintenance to min(x,y+1) we could get a dangling reference if min returns a reference to y+1, which would be invalidated when the temporary is destroyed after the end of the call expression in which it appears:

```
int main() {
    auto x=10, y=2;
    auto& good = min(x,y);
                                  // ok, pset(good) == \{x,y\}
    cout << good;</pre>
                                     // ok, 2
    auto& bad = min(x,y+1)
                                     // A: IN: pset(arg1)=={x},
                                                 pset(arg2) == { temp(y+1) }
                                     11
                                     11
                                            min() returns temp2
                                     11
                                            OUT: pset(temp2) = {x,temp}
                                            \mathsf{KILL}(temp) \rightarrow \mathsf{pset}(temp2) = \{\mathsf{invalid}\}
                                     11
                             ;
                                     // ERROR, initializing bad as invalid
                                     // ERROR, bad initialized as invalid on line A
    cout << bad;</pre>
}
```

In safe code, just attempting to create the bad reference is a build-time error. The reference is unusable and cannot be rebound to make it usable; there is no reason to allow this.

In normal C++, this code compiles but has undefined behavior.

Note In practice, on the three major compilers (gcc, VC++, clang) this code does not crash and appears to work. That's because one manifestation of "undefined behavior" can be "happens to do what you expect." Nevertheless, this is undefined and its appearance of working makes the error more pernicious, not less so; slightly different examples will visibly break.

If this code had instead used max instead of min, therefore returning a reference to the first argument, there would have been no undefined behavior in normal C++ but these rules (I think rightly) would still reject it as statically unsound, having data-dependent safety.

Example 8.3: Explicitly overriding defaults

These defaults are useful and handle most cases, including std::min, std::move, std::forward, standard containers' member functions, standard algorithms, Meyers Singletons, and more. In cases where the function does something different by returning a Pointer to an object accessed on another path, such as heap objects accessed indirectly from static roots and new heap objects, explicitly write the pset using [[lifetime(pset)]]. (See examples later in the paper, notably 8.2 and 10.)

Example 8.4: Return Pointer that must be invalid (e.g., to local)

In a function body, it is a lifetime error to return a pointer that must be invalid, either as a return value or through an inout/out parameter.

Example 8.5: Return indirection that may be invalid (e.g., to local)

In a function body, it is a lifetime error to return a pointer that could be invalid, either as a return value or through an inout/out parameter.

Example 8.6: Calling a function that returns an indirection

Example 8.7: Indirection returned from an owner member

Copying a non-owner indirection object from an owner member mp implicitly carries with it pset = mp'.

```
class smart ptr to int { // *this is an owner because ...
   owner<int*> p; // ... it contains something known to be an owner
public:
   int* get() const { // pset(ret) = {(*this)'} (this is a * to owner)
                       // pset(p) = {p'}
       return p;
    }
   // ...
};
smart ptr to int sp = /*...*/;
*p = 42;
                        // ok
                       // <mark>KILL</mark>(sp') → pset(p) = {<mark>invalid</mark>}
sp = /*...*/;
*p = 43;
                        // ERROR, p was invalidated by assignment to sp on
                         // line A (non-const operation on sp)
     One operation that could invalidate the pointer and that can be called on a const pointer is
Note
```

Inter One operation that could invalidate the pointer and that can be called on a const pointer is delete. Therefore, in the lifetime profile, delete of a const pointer is not permitted except in the body of a destructor and then only if the pointer is a data member (and necessarily an owner data member per the other rules).

Example 8.8: Owner of Pointer(s)

An owner of a non-owner has a pset, which applies to all the owned non-owners.

When the contained non-owner is assigned:

- If the owner o owns a single non-owner, such as with unique_ptr<int*>, the pset is replaced: pset(o) = lifetime.
- If the owner o potentially owns more than one non-owner, such as with vector<int*>, the pset is
 extended: pset(o) = pset(o) ∪ lifetime.

When the owner is assigned, the pset is replaced.

For example:

```
vector<int*> v;
void f() {
    int i;
    v.push_back(&i); // ERROR, v outlives {i}
```

}

Example 8.9: Local Owner of Pointers

```
Consider this example:
```

```
void compute1(vector<T>& v)
{
    T* buf = new T*[10]; // bad style, but not uncommon
    // fill buf with pointers into v
    delete buf;
}
// this is not a problem because buf doesn't escape
```

Correct. The only required change would be that buf's type must be owner<array_view<T>>.

Elaborated example:

```
void compute1(vector<T>& v)
{
     owner<array_view<T>> buf = new T*[10]; // Owner of Pointers
     buf[0] = &v[0]; // ok, pset(buf) += pset(&v[0]) == v'
     delete[] buf;
}
```

Example 8.10: Return Owner<> of Pointers Consider this example:

```
T** compute1(vector<T>& v)
{
    T* buf = new T*[10]; // bad style, but not uncommon
    // fill buf with pointers into v
    return buf;
}
// this violates the "raw pointers do not own" rule, but doesn't
// actually leak pointers because buf's pointers are into v
```

Yes, both buf and the returned value must be owner<array_view<T>>.

Elaborated example:

```
owner<array_view<T>> compute1(vector<T>& v) // pset(ret) = {unref(v)'} by
default
{
     owner<array_view<T*>> buf = new T*[10]; // Owner of Pointers
     buf[0] = &v[0]; // ok, pset(buf) += pset(&v[0]) == v'
     return buf; // ok, every entry in pset promotes
}
```

Example 8.11: Return smart Owner of Pointers Consider this example:

// Cleaner:

```
unique_ptr<T*> compute1(vector<T>& v)
{
    auto buf = make_unique<T*[10]>(); // or whatever
    // fill buf with pointers into v
    return buf;
}
```

Yes, this works as written. Elaborated example:

```
unique_ptr<T*> compute1(vector<T>& v) // pset(ret) = {v'} by default
{
    auto buf = make_unique<T*[10]>(); // Owner of Pointers
    buf[0] = &v[0]; // ok, pset(buf) += pset(&v[0]) == v'
    return buf; // ok, every entry in pset promotes
}
```

9. Transferring ownership

Analogously with assignment from Pointers: When an Owner o1 is move-constructed or move-assigned to another Owner o2 (of the same type), the ownership moves from o1 to o2, and so in all psets replace o1 with o2.

10. Lifetime-const

In some cases, we will need to tag non-const member functions that are logically const for the purpose of lifetime invalidation.

For example, given a vector<T> consider two non-const member functions, one of which invalidates pointers/iterators into the vector and one of which doesn't:

We benefit by annotating operator[] to treat it as though it were const, because even though it is a nonconst operation, operator[] does not perform non-const operations on its structure – and therefore does not invalidate references previously obtained from operator[] (or equivalently front(), etc.). If this is communicated to the caller, then a caller that has a Pointer int* pi referring to an int inside a vector<int> v can know that calling v[0] does not invalidate pi, while calling v.push_back(42); does invalidate pi.

Note It is debatable whether STL made the right design decision in not distinguishing structure from contents – that is, failing to treat the container's own structure distinctly from the contained elements. But STL isn't alone here, and many C++ libraries have followed such a convention; the lifetime annotation provides a way to tactically add the arguably "missing" const. The STL might be a better library if it treated vector<int> and vector<const int> distinctly; that is, the constness of the elements is distinct from the constness of the container. Then vector would mark operator[] as a const function; and a vector<int>::iterator could be allowed to convert to a vector<const int>::iterator, avoiding the need for the const_iterator oddity. Something to think about for STLv2.

Granted, this complaint does not apply equally to map, which we consider next.

Similarly, given a node-based container map<T>, consider two non-const member functions, one of which invalidates pointers/iterators into the map and one of which doesn't:

```
/*...*/ erase(const T& t) { // can invalidate
    // ...
}
/*...*/ insert(const T& t) [[lifetime(const)]] { // won't invalidate
    return data[n];
}
```

Note This is not the same structure-vs-contents situation as vector, but rather a node-based lifetime semantics situation. However, the approach works the same way for lifetime invalidation purposes; by saying "consider insert as a const operation for lifetime invalidation purposes" we express the correct semantics, that erase is a function that should be assumed to invalidate pointers and iterators into the container, but insert is not.

In both cases, we will flag potential false positives: For vector, when push_back does not really invalidate because of a careful earlier reserve we diagnose invalidation anyway, but such code is arguably has data-dependent correctness so we feel correct in diagnosing it. For map, when erase removes only one node (or a few) we diagnose invalidation of all pointers and iterators into the map, not just ones to those nodes; this is a stronger conservatism and source of false positives.

Appendix 1: Applied examples and experiments

Additional examples

Local and returned pointers Consider this example:

int* p1;

```
int* f(int* p3, int i)
{
   int^* p2 = \&i;
   switch(i) {
   case 1: return p1;
                          // BAD
   case 2: return p2;
   case 3: return p3;
   default: return nullptr; // a different problem
}
int* g()
{
   int x;
   auto p1 = f(&x, 1);
                             // global
   auto p2 = f(&x, 2);
                             // BAD
   auto p3 = f(&x,3);
                             // local
}
```

Correct. Here's how the example is processed:

```
// pset(p1) is required to be always {static}
int* p1;
int* f(int* p, int i)
                              // pset(ret) == pset(p)
{
   int* p2 = &i;
                              // ok, pset(p2) = {i}
   switch(i) {
   case 1: return p1;
                              // ok, {static} \rightarrow pset(p) (the default rules
                                      assume f got the pointer from p)
                               //
   case 2: return p2;
                              // ERROR, pset(ret) \neq {i}
   case 3: return p;
                              // ok, pset(p) (what the default rules assume)
   default: return nullptr; // a different problem
}
```

Getting sneaky

Consider this example:

```
// This is getting sneaky
int* glob;
template<class T>
void steal(T x)
{
    glob = x();
}
void f()
{
    int i;
    steal([&]{ return &i; });
}
```

```
int main()
{
    f();
    *glob = 7;
}
```

That's sneaky all right.

Prelude: Recall how lambdas are generated. Given:

```
int i;
steal([&]{ return &i; });
```

The code with the generated lambda is essentially:

```
int i;
struct __lambda {
    int& __i;
    __lambda(int& i) :__i{i} {} // store reference
    auto operator(){ return &__i; }
};
steal(__lambda{i});
```

With that in hand, first consider f: The lambda just generates a class with an int& member; this makes the lambda a Pointer. As we said earlier for array<T>::iterator, this has two effects: First, it allows conversion from the *reference* parameter's lifetime to the *member* variable, and it explicitly lets us infer that the lambda constructed using this constructor is valid for the lifetime of i, so the iterator instance produced has validity pset(*this) = pset(i).

However, steal contains an error, because the only legal assignment to glob would be something with a pset known to be {static}.

```
template<class T>
void steal(T x)
{
    glob = x(); // ERROR, pset(x()) is not {static}
}
```

Return collection of pointers

Consider this example:

```
vector<int*> find_all(vector<int>& v, int i);
    // return pointers to elemnts of v with the value i
int* pp;
```

```
int* f()
{
   vector<int> v = {1,2,3,4};
   auto r = find_all(v,3); // this is fine
                              // this is not fine
   pp = r[0];
                              // this is not fine
   return r[0];
}
vector<int> vv = {1,2,3,4};
int* f()
{
    auto r = find_all(vv,3); // this is fine
   pp = r[0];
                               // this is fine
                              // this is fine
   return r[0];
}
```

Yes, except for two corrections, both of which we can enforce:

- find_all should take the vector by reference.
- The second-last line is incorrect because pp could be invalidated by modifying vv. It would be legal if vv were const.

Here is an elaborated example:

```
vector<int*> find all(vector<int> v&, int i) { // pset(ret) = {v'} by default
    vector<int*> ret;
    for (auto& e : v)
        if (e == i)
            ret.push_back(&e); // pset(ret) += {v'}
                               // ok, psets match
   return ret;
}
int* pp;
int* f()
                                // pset(ret) = {static} by default
{
   vector<int> v = {1,2,3,4};
   auto r = find_all(v,3);
                                // ok, pset(r) = {v'}
                                // ERROR, can't expand {v'} to {static}
   pp = r[0];
                                // ERROR, can't expand {v'} to {static}
   return r[0];
}
vector<int> vv = {1,2,3,4};
int* f()
                                // pset(ret) = {static} by default
{
    auto r = find_all(vv,3); // ok, pset(r) = {vv'}
    pp = r[0];
                                // ERROR, can't expand {vv'} to {static}
                               // ERROR, can't expand {vv'} to {static}
   return r[0];
}
```

But add const and the second part works:

Implementing and using **std::unique_ptr** for single objects

The following is intended to be a completely lifetime-safe implementation of unique_ptr. For exposition, we omit machinery like the deleter which can also be expressed in a safe way.

Note For convenience, this code uses the move_owner and release_owner helpers, but these are not required. The code could with equal validity perform the naked deleting/assignment/null-setting suboperations explicitly.

Declaration and data

The class begins as usual, using owner to declare the ownership of the pointer.

```
template<class T>
class unique_ptr {
    owner<T*> p = nullptr;
```

Dereferencing: get, operator->, and operator*

The dereferencing operations just work.

```
public:
    T* get() const {
                               // pset(ret) = {(*this)'} (by default)
                                // pset(p) = {(*this)'} (because it's a member)
        return p;
    }
    T* operator->() const {
                               // pset(ret) = {(*this)'}
        Expects(p != nullptr);
                                // pset(p) = {(*this)'}
        return p;
    }
    T& operator*() const {
                               // pset(ret) = {(*this)'}
        Expects(p != nullptr);
                                // pset(p) = {(*this)'}
        return *p;
    }
```

Aside: Potential new implicit conversion operator T*

Note that this means that smart pointers can now safely offer an implicit conversion operator $T^*()$. It is a well-known (and often lamented) problem that smart pointers like unique_ptr<T> must not offer implicit conversions to T^* , which is otherwise desirable for usability and substitutability, for two reasons that no longer apply in safe code:

• First, the lifetime issue: In unsafe code it is too easy to get a pointer without realizing we must take care that it does not dangle. This is not a problem under this proposal in lifetime-safe code, because

we now track lifetimes by default and can prevent use of a dangling pointer, and so such incorrect uses would fail to build with a clear error message.

Second, the bounds arithmetic issue: In unsafe code it is too easy for unintended and incorrect code to accidentally work when smartptr converts implicitly to T*. For example, the code smartptr + 42 could convert smartptr to T* and then invoke the built-in + that takes T* and int, which was unintended, logically wrong, and potentially seriously wrong because it incidentally produces a wild pointer which in a slightly more complex example could further be silently dereferenced (e.g., *smartptr + 42 vs. *(smartptr + 42)). However, this is not a problem in bounds-safe code because pointer arithmetic is banned in the bounds profile, and so such incorrect uses would fail to build with a clear error message.

The only drawback to taking advantage of this is that a smart pointer that relies on this safety to provide an implicit conversion to T^* will be unsafe if *called from* non-bounds-safe or non-lifetime-safe code. Therefore the operator must be marked as available only when both the bounds and lifetime profiles are in effect.

So we could consider inserting the new convenience function:

```
operator T*() const [[enable_if_profiles(lifetime && bounds)]] {
    return p;
}
```

Notes This would be a departure from profiles being strictly subsets, as this would be an extension available only under the listed profiles. The spelling of enable_if_profiles is bikesheddable.

However, note how elegantly this matches the concept mentioned earlier in the owner<> section that an owner<T*> should be thought of as being an unencapsulated unique_ptr<T>: The lifetime safety rules do permit an implicit conversion from an owner<T*> object named o to a non-owner T* with pset o'. That operation is the equivalent of the above.

Special member functions: Construction, destruction, copying, and moving **Consider construction and destruction**:

```
unique_ptr() = default;
~unique_ptr() { delete p; }
```

This works because p is an owner<T*>. Note that the delete is impossible to forget: Without it, \sim unique_ptr would fail to build with the error that the owner<T*> p was not deleted.

Note On the other hand, if the type of p were instead a plain T*, the delete would be an error because explicit delete is not permitted in lifetime-safe code.

Copying is disabled and uninteresting:

```
unique_ptr(unique_ptr&) = delete;
unique_ptr& operator=(unique_ptr&) = delete;
```

Let's finish the special member functions by considering the move operations, which manually manipulate lifetimes but do so in a safe and checkable way.

```
unique_ptr(unique_ptr&& other)
  : p{ release_owner(other.p) }
```

```
{ }
unique_ptr& operator=(unique_ptr&& other) {
    p = move_owner(p, other.p);
}
```

reset and release

Next, consider reset, which adopts a previously-owning raw pointer. This is inherently a lifetime-unsafe "trust me" function for two reasons: first, because owning raw pointers are always lifetime-unsafe; and second, because allowing implicit adoption would enable adopting the same raw pointer by multiple unique_ptrs which is lifetime-unsafe (e.g., would lead to double delete). If we try to write the usual lifetime-unsafe code, we'll get an error – the compiler is telling us that this is an unsafe operation:

```
// BAD naïve implementation, build time errors
void reset(T* ptr = nullptr) {
    T* old = p; // pset(old) = {p'}
    p ■ ptr; // KILL(p) → pset(old) = {invalid}
    // ERROR, p outlives ptr
    delete old; // ERROR, old invalid, and delete of non-owner
}
```

We could just suppress the lifetime profile on these two lines, but the correct solution is just to annotate that the parameter is an owner, because we are going to take ownership: Not only will this will prevent lifetimesafe calling code to pass the same pointer to reset on two unique_ptrs, which is desirable because having two unique_ptrs adopt the same object would be wrong, but it makes the body just work (though for convenience we'll use move_owner):

```
// Corrected implementation
void reset(owner<T*> ptr = nullptr) {
    move_owner(p, ptr);
}
```

Note Again this is a parallel with owner<T*> which does not allow assignment from a (non-owning) T*.

The constructor from T^* does the same:

```
unique_ptr(owner<T*> ptr)
  : p{ release_owner(ptr) }
{ }
```

Similar reasoning applies to release: We annotate the return type with owner<>, which does not change the type, and this not only correctly documents that ownership is being moved to the caller, but it removes the need to suppress lifetime safety rules.

```
owner<T*> release() {
    return release_owner(p);
}
```

swap Finally, consider swap.

```
void swap(unique_ptr& other) {
    std::swap(p, other.p);
}
```

Example: From StackOverflow

An hour before I was about to add a usage example here of how the above rules and implementation of unique_ptr detect lifetime errors, the following was <u>posted on StackOverflow</u>, so let's use this example.

```
unique_ptr<A> myFun()
{
    unique_ptr<A> pa(new A());
    return pa;
}
const A& rA = *myFun();
This code compiles but rA contains garbage. Can someone explain to me why is this code invalid?
```

Under this lifetime profile, the rules mechanically diagnose the problem and give the answer. The convention in this paper is to diagnose the problem at the point the code attempts to use the invalidated local pointer or reference, and so we have the ability to :

<pre>const A& rA = *myFun();</pre>	<pre>// A: ERROR, rA is unusable, initialized with invalid</pre>
	<pre>// reference (invalidated by destruction of the</pre>
	<pre>// temporary unique_ptr returned from myFun)</pre>
use(rA);	<pre>// ERROR, rA initialized as invalid on line A</pre>

In the first line, myFun returns a temporary unique_ptr (call it $temp_up$), then unary * returns a temporary reference $temp_ref$ with pset($temp_ref$) = $temp_up$ ', then temp_up is destroyed which implies KILL($temp_up$) \rightarrow pset($temp_ref$) = invalid, and finally that is copied to initialize pset(rA) = invalid.

As noted earlier, we diagnose the error at the creation of the unusable reference, since references cannot be reseated and so this initialization is just always nonsense.

The poster added a coda:

Note: if I assign the return of myFun to a named unique_ptr variable before dereferencing it, it works fine.

Indeed it does:

```
auto local = myFun(); // ok, local assumes ownership
const A& rA = *local; // ok, pset(rA) = {local}
use(rA); // ok, we know that local is keeping rA alive
```

Implementing gc_ptr<T>

Let's imagine we want a new smart pointer type called gc_ptr that points into garbage-collected memory. The implementation is similar to unique_ptr, except that gc_ptr is copyable so it needs a raw_shared_owner. Also, it deliberately does not delete the raw_shared_owner in its own member functions, so we have to be able to express those semantics.

```
template<class T>
class gc ptr {
    raw shared_owner<T*> p = nullptr;
public:
    T* get() const {
        return p;
    }
    T* operator->() const {
        return p;
    }
    T& operator*() const {
        Expects(p != nullptr);
        return *p;
    }
    operator T*() const [[enable_if_profiles(lifetime && bounds)]] {
        return p;
    }
    gc_ptr() = default;
```

Unlike unique_ptr, gc_ptr does not express unique ownership and so is copyable, and copying naturally doesn't modify the source object. Because it is efficiently copyable, it doesn't need distinct move operations. However, it doesn't delete its owner member, so we have to [[suppress(lifetime)]] to say that's okay:

```
~gc_ptr() {
    p = nullptr; // ok for raw_shared_owner<>
}
gc_ptr(const gc_ptr& other) {
    p = other.p; // ok for raw_shared_owner<>
}
gc_ptr& operator=(gc_ptr& other) {
    p = other.p; // ok for raw_shared_owner<>
}
```

Note that reset and release do not make sense for gc_ptr, so we omit them. And swap is unchanged:

```
void swap(gc_ptr& other) {
    swap(p,other.p);
}
```

Implementing unique_ptr<T[]> for arrays

The specialization unique_ptr<T[]> for arrays is similar, but let's do a small upgrade to use an array_view for bounds safety.

```
template<class T, /*...*/> class array_view; // more on this later
template<class T>
class unique_ptr<T[]> {
```

```
owner<array_view<T>> av;
public:
    array_view<T> get() const {
       return av;
    }
```

Note As an additional improvement for bounds safety, I chose to change the return type of get() to array_view<T>. Returning a raw T* would not be that useful under the bounds profile where the pointer could be used only as a pointer to a single object.

If we want strict compatibility with the current standard unique_ptr<T[]> interface, we could alternatively provide

```
T* get() const {
    return av.data();
}
```

but adopting the return type change above adds better bounds safety; in bounds-safe code the returned T^* would be usable only as a pointer to a single object.

Instead of operator-> and operator*, the array version of unique_ptr provides operator[]:

```
template<class T>
T& unique_ptr<T[]>::operator[](size_t pos) const { // pset(ret) = {(*this)'}
    Expects(pos < av.size());
    return av[pos];
}</pre>
```

The expression av[pos] gives a T& to an element of the array_view whose owner is the same as the owner of the array_view, namely *this.

```
Note Because the returned T& is lifetime-safe, combined with av also providing bounds-safety, we have complete memory safety for the returned T&.
```

Aside: Similarly to unique_ptr for single objects, we can provide an implicit conversion to array_view, which is safe to use if the caller is lifetime-safe (note bounds does not need to be required this time).

```
operator array_view<T>() const [[enable_if_profiles(lifetime)]] {
    return av;
}
```

The next few functions are essentially unchanged:

```
unique_ptr() = default;
~unique_ptr() {
    delete[] av.data();
}
unique_ptr(unique_ptr&) = delete;
unique_ptr& operator=(unique_ptr&) = delete;
unique_ptr(unique_ptr&& other) {
```

```
: av{ release_owner(other.av) }
{ }
unique ptr& operator=(unique ptr&& other) {
    delete[] av.data();
    av = release_owner(other.av);
}
void reset(owner<array_view<T>> view = nullptr) {
    delete[] av.data();
    av = release_owner(view);
}
owner<array_view<T>> release() {
    return release owner(av);
}
void swap(unique ptr& other) {
    std::swap(p, other.p);
}
```

Implementing std::array<T>::iterator

Now let's try containers and iterators.

Our focus will be on the iterator, so consider just a minimal subset of std::array, and how to implement its iterator to be lifetime-safe. Note array can happily remain an aggregate.

```
template<class T, std::size_t N>
class array {
    T[N] data;
public:
    T& operator[](std::size_t pos) {
        Expects(pos < N); // (for bounds safety profile)
        return data[pos]; // pset(ret) = {*this}
    }
}</pre>
```

Now consider writing a new indirection: array<T,N>::iterator.

```
class iterator {
    array* a;
    int pos = 0;
```

Now we can infer something useful:

```
iterator(array& arr) : a{&arr} { }
```

We know that iterator is a Pointer because it contains one. This has two effects: First, it allows conversion from the *reference* parameter's lifetime pset(arr) to the *member* variable which would otherwise be a build time error because the lifetimes are unrelated. Second, and more significantly, it explicitly lets us infer that the iterator constructed using this constructor is valid for the lifetime of arr, so the iterator instance produced has validity pset(*this) = pset(arr).

By capturing that *this refers to arr, it also changes the default lifetime of a returned pointer:

```
public:
    T& operator*() const {
        Expects(pos < N);
        return a->data[pos]; // pset(ret) = {*a}
     }
     // ... ++, --, etc.
}
iterator begin() noexcept { // pset(ret) = {*this}
        return iterator(*this);
}
// ...
};
```

Now consider the calling code:

```
array<int,100> array1;
   int* ptr = nullptr;
                                   // pset(ptr) = {null}
   {
       auto i = array1.begin(); // pset(i) = {array1}
       auto j = i;
                                   // pset(j) = \{array1\}
       {
           array<int,100> array2;
           i = array2.begin();
                                 // repointed, so now pset(i) = {array2}
           *i = 42;
                                    // ok
        // A: KILL(array2) \rightarrow pset(i) = {invalid} 
       *i = 42;
                                    // ERROR, i was invalidated on line A
       i = array1.begin();
                                   // pset(i) = \{array1\}
       *i = 42;
                                    // ok, now i is usable again
       *j = 42;
                                    // ok
       ptr = \&*j;
                                    // ok, pset(ptr) = \{array1\}
       ++j;
   }
   *ptr = 42;
                                   // ok: ptr's validity not tied to j
Implementing array_view
Now let's try a simplified array_view.
   template<class T> class array_view {
       T* a;
       int size = 0;
```

Again, the non-owner member enables conversion from the reference parameter's lifetime to the member variable, and lets us infer that the view constructed using this constructor is valid for the lifetime of arr[0] which is the same as arr, so the view instance produced has pset(*this) = arr'.

Here are a few more functions, and for exposition let's just call all the parameters arr:

Let's throw in the copy constructor (the compiler-generated one would do the same thing):

By capturing that *this refers to arr, it also changes the default lifetime of a returned pointer:

```
T& operator[](int pos) const {
    Expects(pos < size);
    return a[pos]; // pset(ret) = {owners-of-this-object}
}
// ... ++, --, etc.
};</pre>
```

Now consider the calling code, and note how we naturally distinguish between array directly owning its memory (valid for the lifetime of the array) vs. vector indirectly owning its memory (valid until the vector is destroyed or modified):

```
array<int,100> array1;
int* ptr = nullptr;
                            // pset(ptr) = {null}
{
   array_view<int> i = array1; // pset(i) = {array1}
   {
       vector<int> array2(100);
       i = array2;
                             // repointed, so now pset(i) = {array2'}
                             // ok
       i[0] = 42;
       array2.push_back(1); // A: KILL(array2') \rightarrow pset(i) = {invalid}
       i[0] = 42;
                             // ERROR, i invalidated by push_back on line A
   }
   i = array1;
                            // pset(i) = \{array1\}
   i[0] = 42;
                             // ok, now i is usable again
   i[0] = 42;
                             // ok
   ptr = &j[0];
                             // ok, pset(ptr) = {array1}
}
```

```
*ptr = 42; // ok: ptr's validity not tied to j
```

Implementing std::vector<T>::iterator

Consider just a minimal subset of std::vector, and how to implement its iterator to be lifetime-safe. This adds two twists over the std::array case from earlier:

- vector adds a level of indirection because the data is on the heap rather than as a member variable, and so the lifetimes are shorter because the heap data can be replaced.
- This code exercises composability, because we're going to try to reuse another owner type. Two candidates are unique_ptr<T[]> for arrays, and owner<array_view<T>>. Let's do the former.

```
Starting off:
```

```
template<class T>
  class vector {
      unique_ptr<T[]> data;
  public:
      T& operator[](std::size_t pos) { // pset(ret) = {(*this)'}
          return data.get()[pos];
      }
And now vector<T>::iterator.
      class iterator {
          vector* v;
          int pos = 0;
          iterator(vector& vec) : v{&vec} { }
      public:
          T& operator*() const {
                                  // pset(ret) = {(*v)'}
              return v[pos];
          }
          // ... ++, --, etc.
      }
      iterator begin() noexcept { // pset(ret) = {(*this)'}
          return iterator(*this);
      }
```

Now consider the calling code:

```
*i = 42;
                               // ok
        array2.push_back(1); // A: KILL(array2') \rightarrow pset(i) = {invalid}
        *i = 42;
                               // ERROR, i was invalidated by
                                // "array2.push_back" on line A
    }
   i = array1.begin();
                               // pset(i) = \{array1'\}
    *i = 42;
                               // ok, now i is usable again
    *j = 42;
                                // ok
    ptr = &*j;
                                // ok, pset(ptr) = {array1'}
    ++j;
}
*ptr = 42;
                               // ok: ptr's validity not tied to j
```

Implementing a tree-based container

Handing out references

Transitive shared_ptrs maintain ownership. Note that using raw local * variables eliminates needless reference counting overhead.

Note that this is safe because of the lifetime **root**' on the second argument.

```
shared_ptr<T> get_leftmost_slow() { // another simple example
    auto p = root;
    assert(p);
    while (p->left) p = p->left;
    return shared_ptr<T>(p, p->data);
}
shared_ptr<T> get_leftmost_fast() { // another simple example
    Node* p = root.get(); // pset(p) = {root'}, not copying root
    assert(p);
    while (p->left)
```

```
p = p->left.get(); // pset(p) = {root''}
return shared_ptr<T>(p, p->data);
};
```

Note that the last line in each function does this, without annotation:

```
return shared_ptr<T>(p, p->data);
```

How can this constructor call be lifetime-safe? This is the shared_ptr(r,ptr) aliasing constructor, where "to avoid the possibility of a dangling pointer, the user of this constructor must ensure that p remains valid at least until the ownership group of r is destroyed" [ISO C++], and "it is the responsibility of the programmer to make sure that ptr remains valid as long as this shared_ptr exists, such as in the typical use cases where ptr is a member of the object managed by r or is an alias (e.g., downcast) of r.get()" [cppreference.com]. By now, all of that sounds familiar... we can spell it with a lifetime constraint:

```
template<class T, class Y>
shared_ptr<T>::shared_ptr( const shared_ptr<Y>& r, T *ptr [[lifetime(r')]] );
```

Not handing out references

If we don't hand out references, unique_ptr suffices. Because we can't use weak_ptr here for the parent pointer, when we assign the parent pointer we need to say "trust me" via [[suppress(lifetime)]].

```
template<class T>
class tree {
   unique_ptr<Node> root;
   struct Node {
       T data;
       unique_ptr<Node> left, right;
       Node* parent;
       Node(Node& parent_) { [[suppress(lifetime)]] parent = &up; }
   };
public:
   T get_root() {
                                   // as a simple example - now by value
       assert(root.get());
       return root->data;
   }
   T get_leftmost() {
                                   // another simple example
       auto p = root.get();
                                   // pset(p) = {root'}
       assert(p);
       while (p->left)
           return p->data;
   }
};
```

Appendix 2: owner<> and raw_shared_owner<>

Note This is a portion of the forthcoming *Section III* draft to mention enough of the basic tools used elsewhere in this paper, such as move_owner, so that this paper can be read in isolation. Details such as whether have an array_ptr alias are still undergoing refinement.

array_ptr

For compatibility and migration of older code that uses T* and cannot convert to use a smart pointer, we provide owner<T*> for a single object, and to distinguish pointer to arrays we provide the additional alias array_ptr to be used as owner<array_ptr<T>>.

Let

template<class T, int N> using array_ptr = T*;

be an alias that designates the pointer points to an array of length N, and allow conversions:

- from array_ptr<T,N> to array_view<T,N>;
- from owner<array_ptr<T,N>> to owner<array_view<T,N>>; and
- from raw_shared_owner<array_ptr<T,N>> to raw_shared_owner<array_view<T,N>>.

Common rules

In the lifetime profile:

- new X returns an rvalue of type owner<X*>.
- new X[N] returns an rvalue of type owner<array_ptr<X,N>>.
- delete/delete[] cannot be called on any type except as permitted below.
- delete can be called on any owner<T> or raw_shared_owner<T>.
- delete[] can be called on owner<A> or raw_shared_owner<A> where A is an array_ptr or array_view.
- **Notes** owner<array_view<T,N>> is allowed as well and is preferred. However, array_ptr is more compatible by being just an alias so that existing code using T* to point to an owned array can switch to owner<array_ptr<T,N>>.

As an extension, we could say that when T is an array_ptr, delete means to invoke delete[]. However, this is a semantic change that would require compiler implementation, whereas otherwise these rules can be implemented in any source build step (not necessarily in a compiler).

As a future extension to help C-style code, we could also consider providing some malloc compatibility along the following lines:

```
m_{owner} < X^* > p = malloc(N) is legal iff sizeof(X)<=N and X is trivially constructible.
```

```
m_owner<array_ptr<X,M>> p = malloc(N) is legal iff sizeof(X)*M<=N and X is trivially
constructible.
```

m_owner<array_view<X,M>> p = malloc(N) is legal iff sizeof(X)*M<=N and X is trivially constructible.

free is available for all of these with the lifetime state effect of delete.

Every owner<T> and raw_shared_owner<T> is always in one of the states {valid, invalid, null} and obeys the following state transition tables. In those tables:

- DECLARE(x) means x is declared. Its initial value must be set as a separate step.
- END_LIFE(x) means that x is destroyed (e.g., a local variable at the end of its scope).
- ESCAPE(x) means that x leaves the local function scope (e.g., is a modifiable & parameter and we are leaving the function body via return or throw).

Unique ownership: owner<>

owner<> is a type alias that allows legacy and lowest-level data structures using owning raw pointers with unique ownership to be correctly identified as owners in an ABI-compatible way by not disturbing their type. owner is intended to be principally used for single heap objects via owner<T*> and for heap arrays via owner<array_ptr<T,N>> or owner<array_view<T>>.

In the lifetime profile, the following rules apply to owner<T>.

- T must be a non-owner indirection.
- An owner<T> obeys the following state transition table.

	Pre	Post
DECLARE(0)	(not applicable)	o is invalid
o = new T	o is invalid or null	o is valid
o = o2	o is invalid or null	o is o2's "pre" state
	(no requirement on o2)	o2isinvalid
*0	o is valid	(no change)
0->		
&o	o is in any state	(no change)
delete o	o is valid or null	o is invalid
END_LIFE(o)	o is invalid or null	(not applicable)
ESCAPE(o)	o is valid or null	(not applicable)

• The following helpers to automate common usages to comply with the above table:

```
template<class T>
void move_owner(owner<T>& dst, owner<T>&& src)
    { delete dst; dst=src; src=nullptr; }
template<class T>
auto release_owner(owner<T>&& src) -> owner<T>
    { owner<T> tmp=src; src=nullptr; return tmp; }
```

- Constructing or assigning a T from an owner<T> o is like calling a smart pointer's "get" and yields a T with a pset of {o'}.
- Constructing or assigning an owner<T> from a T is not allowed.

Notes owner<T*> should be thought of as an unencapsulated unique_ptr<T>. That is, instead of performing ownership operations (such as moving ownership or performing delete) within encapsulated unique_ptr member functions, we expose the raw *, C++98-style, and require all callers to share the responsibility of collaboratively implement the same semantics by hand.

Constructing or assigning an owner<T> from a T is not allowed because it is unsafe. For example, code could copy the same pointer to two different owners, leading to eventual double delete.

For code that has owning raw pointers that intends unique ownership, change the variable's declaration from T* to owner<T*> and then any lurking mistakes will start to be diagnosed at build time.

Shared ownership: raw_shared_owner<>

raw_shared_owner<> is a type alias that is intended for use primarily inside the implementation of smart pointers. raw_shared_owner is intended to be principally used for single heap objects via raw_shared_owner<T*> and for heap arrays via raw_shared_owner<array_ptr<T,N>> or raw_shared_owner<array_view<T>>.

Note The name is bikesheddable. This alias doesn't necessarily need to be used for shared ownership, but for any ownership convention that is not straight unique+new+delete ownership and so relies on surrounding code that we cannot check for correct enforcement. Because it's really "opaque" to the lifetime rules, it should have an ugly name. Potential alternative names include: hidden_owner, manual_owner, opaque_owner, raw_opaque_owner, trustme_owner, etc.

We are considering initially restricting raw_shared_owner to be a private data member, for use only in implementing non-unique owner library abstractions like shared_ptr and gc_ptr. However, if there are many places where it could be useful in existing code, we may need to allow its more widespread use despite its weaker guarantees.

In the lifetime profile, the following rules apply to raw_shared_owner<T>.

- T must be a non-owner indirection.
- A raw_shared_owner<T> obeys the following state transition table. Differences from the owner<> state transition tables are highlighted. Note that there is a new row to allow setting a raw_shared_owner from an owner o.

	Pre	Post
DECLARE(s)	(not applicable)	s is invalid
S = 0	s is in any state	s is o's "pre" state o is invalid
s = s2	s is in any state	s is s2's "pre" state (no change to s2)
*s s->	s is valid	(no change)
&s	s is in any state	(no change)
delete s	s is valid or null	s is invalid
END_LIFE(s)	s is in any state	(not applicable)
ESCAPE(s)	s is valid or null	(not applicable)

- Constructing or assigning a T from a raw_shared_owner<T> s is like calling a smart pointer's "get" and yields a T with pset {s'}.
- Constructing or assigning a raw_shared_owner<T> from a T is not allowed.

Note Unlike with owner<>, the raw_shared_owner<> rules do not by themselves guarantee freedom from leaks (failure to delete) or multiple deletion. Basic shared indirection types are intended to encapsulate a raw_shared_owner and then perform their own appropriate tracking, such as a reference count or tracing collection, to ensure that delete is called exactly once. However, because this responsibility is encapsulated within these few basic types, as long as their implementations are correct the entire program is correct.